Assistance Listings

Economic Adjustment Assistance

Assistance Listing
Popular Name
Economic Adjustment
Sub-tier
ECONOMIC DEVELOPMENT ADMINISTRATION
Assistance Listing Number
11.307
Related Federal Assistance
11.302 , 11.300 , 11.303
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Overview

Objectives

The EAA program provides a wide range of technical, planning, and public works and infrastructure assistance in regions experiencing adverse economic changes that may occur suddenly or over time. These adverse economic impacts may result from a steep decline in manufacturing employment following a plant closure, changing trade patterns, catastrophic natural disaster, a military base closure, or environmental changes and regulations.

Examples of Funded Projects

Not Applicable.

Assistance Listing Description

Economic Adjustment Assistance Program includes EDA's regular Economic Adjustment Assistance Program, the Fiscal Year 2018 (FY2018) Disaster Supplemental, the Fiscal Year 2019 (FY2019) Disaster Supplemental, and the Fiscal Year 2019 (FY2019) CARES Act.

Authorizations

Sections 209 and 703 of the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. § 3121 et seq.) (PWEDA).

Financial Information

These funding amounts do not reflect the award amounts that are displayed on USASpending.gov

Obligation(s)	FY 21	FY 22 (est.)	FY 23 (est.)
Project Grants (Cooperative Agreements) Total	$3,087,000,000	$100,000,000	$143,000,000
Totals	$3,087,000,000	$100,000,000	$143,000,000

Range and Average of Financial Assistance

The average award amounts set out below are provided only for your information and may prove useful for planning purposes. EDA anticipates making similar awards, subject to the availability of funding. Actual amounts awarded may be higher or lower depending on the nature of the proposed project and the availability of funds. Applications for funding of activities related to existing awards may compete with applications for new awards. The average size of an EAA investment has been approximately $650,000 and investments generally range from $150,000 to $1,000,000. Historically, EDA has awarded funds for between 70 and 140 EAA projects a year. In FY 2020, EDA will continue to support assistance to coal communities through the ACC program and expects to award grants generally ranging from $500,000 to $2,000,000 for implementation projects and from $100,000 to $350,000 for planning activities. In FY 2020, EDA will support nuclear closure communities and expects to award grants generally ranging from $500,000 to $2,000,000 for implementation projects and from $100,000 to $350,000 for planning activities.

Accomplishments

Not Applicable.

Account Identification

13-2050-0-1-452

Criteria for Applying

Types of Assistance

B - Project Grants (Cooperative Agreements)

Credentials and Documentation

In order to be eligible for funding under this PWEAA NOFO, an applicant must propose a project that meets EDA's distress criteria. Applicants must self-define the appropriate region geographically. The geographic area comprising a region need not be contiguous or defined by political boundaries but should constitute a cohesive area capable of undertaking self-sustained economic development. Applicants must provide third-party data that clearly indicate that the relevant region is subject to one (or more) of the following economic distress criteria: (i) an unemployment rate that is, for the most recent 24-month period for which data are available, at least one percentage point greater than the national average unemployment rate; (ii) per capita income that is, for the most recent period for which data are available, 80 percent or less of the national

average per capita income; or (iii) a “Special Need,” as determined by EDA. EDA will review and evaluate documentation submitted by the applicant to determine eligibility. EDA will reject any documentation of eligibility that the agency determines is inaccurate or incomplete, which may cause the application to be rejected. EDA reserves the right to request additional documentation or information from the applicant to make an eligibility determination. The proposed project must meet EDA’s distress criteria as of the date EDA receives a complete application. However, if an award has not been made within six months of that date, EDA will then re-evaluate the project to determine continued eligibility for investment assistance. If the project no longer meets EDA’s distress criteria, the project will no longer be considered. For construction projects (including design and engineering) the project must be located within an eligible region. For non-construction projects, the investment’s scope of work must primarily benefit an eligible region and stakeholders from that eligible area must be directly engaged. EDA suggests that applicants work closely with the EDA representative listed for their State as they define an eligible project in their region. A proposed project may meet the regional eligibility criteria in one of the following three ways: 1. The proposed project will be located in a region that meets EDA’s economic distress criteria; 2. The proposed project is located in an Economic Development District (EDD) that is located in a region that does not meet EDA’s economic distress criteria, and EDA determines the proposed project will be of substantial direct benefit to a geographic area within the EDD that meets EDA’s economic distress criteria; or 3. The proposed project will be located in a geographic area of poverty or high unemployment that meets EDA’s economic distress criteria, but which is located in a region that overall does not meet EDA’s distress criteria. Below are further details on economic distress criteria. See also 13 C.F.R. § 301.3(a). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Applicant Eligibility

Designations

Interstate, Intrastate, State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated lndian Tribes, excludes institutions of higher education and hospitals, Public nonprofit institution/organization (includes institutions of higher education and hospitals), Other public institution/organization, Federally Recognized lndian Tribal Governments, U.S. Territories and possessions, Private nonprofit institution/organization (includes institutions of higher education and hospitals), Quasi-public nonprofit institution/organization

EDA is not authorized to provide grants or cooperative agreements under its Public Works or EAA programs to individuals or to for-profit entities. Requests from such entities will not be considered for funding. Pursuant to Section 3 of PWEDA (42 U.S.C. § 3122) and 13 C.F.R. § 300.3, eligible applicants for EDA financial assistance under the Public Works and EAA programs include a(n): (i) District Organization of an EDA-designated Economic Development District; (ii) Indian Tribe or a consortium of Indian Tribes; (iii) State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (iv) institution of higher education or a consortium of institutions of higher education; or (v) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State.

Beneficiary Eligibility

Designations

Major Metropolis (over 250,000), Other Urban, Suburban, Rural, Interstate, Local, Public nonprofit institution/organization, Federally Recognized Indian Tribal Governments, U.S. Territories

Beneficiaries of investments made under Economic Adjustment are those communities who satisfy one or more of the economic distress and/or “Special Need” criteria set forth in 13 C.F.R.§ 301.3(a) and 13 C.F.R. § 300.3 to revitalize, expand, or upgrade their economic development assets to attract new industry, encourage business expansion, diversify their local economies, and generate or retain long-term private sector jobs and capital investments. Investments are intended to alleviate long-term deterioration and sudden and severe economic dislocation in distressed communities and regions.

Length and Time Phasing of Assistance

EDA expects that all projects will proceed efficiently and expeditiously, and EDA encourages applicants to clearly document how quickly the applicant will be able to start and complete the proposed work. All construction projects are expected to be completed within five years from the date of award. Method of awarding/releasing assistance: As stated in the award terms and conditions. Funds are disbursed on a reimbursement basis.

Use of Assistance

Designations

Economic Development, Planning, Training, Business/Commerce, Regional Development, Science and Technology, Vocational Education, International (includes Export/lmport)

Assists state and local interests in designing and implementing strategies to adjust or bring about change to an economy. The program focuses on areas that have experienced or are under threat of serious structural damage to the underlying economic base. Under Economic Adjustment, EDA administers its Revolving Loan Fund (RLF) Program, which supplies small businesses and entrepreneurs with the gap financing needed to start or expand their business.To be eligible, a project must be located in or benefit a region that, on the date EDA receives an application for investment assistance, satisfies one or more of the economic distress criteria set forth in 13 C.F.R. § 301.3(a). All investments must be consistent with a current EDA- approved Comprehensive Economic Development Strategy (CEDS) or equivalent strategic economic development plan for the region in which the project will be located, and the applicant must have the required local share of funds committed, available, and unencumbered. Applicants must be able to start and complete proposed projects in a timely manner consistent with award terms and conditions.

Applying for Assistance

Deadlines

Preapplication Coordination

Preapplication coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EDA strongly encourages applicants to consult with the EDA representative for their State to discuss whether their project is in alignment with EDA's Investment Priorities, eligibility requirements, cost-sharing requirements, property standards, or other requirements outlined in this PWEAA NOFO. This consultation is limited to clarification of technical matters involving their proposed project, project alignment with EDA's mission and Investment Priorities, and all other relevant and publicly available information relating to general technical matters.

Application Procedures

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window) (opens in new window).

FY 2020 EDA Public Works and Economic Adjustment Assistance Programs including CARES Act Funding

Criteria for Selecting Proposals

EDA will review and evaluate documentation submitted by the applicant to determine eligibility. EDA will reject any documentation of eligibility that the agency determines is inaccurate or incomplete, which may cause the application to be rejected. EDA reserves the right to request additional documentation or information from the applicant to make an eligibility determination. The proposed project must meet EDA's distress criteria as of the date EDA receives a complete application. However, if an award has not been made within six months of that date, EDA will then re-evaluate the project to determine continued eligibility for investment assistance. If the project no longer meets EDA's distress criteria, the project will no longer be considered.

Award Procedure

Each Regional Office will convene periodic IRCs as necessary depending on the volume of applications, that consists of at least four EDA staff members (except in the case of an IRC to review ACC applications, which need only consist of three EDA staff members) to review each complete application. Before the IRC reviews an application EDA will conduct an administrative review to determine that the application is complete. All IRC members will review each complete application before the IRC discussion and evaluation. The IRC will make a group evaluation of the

merits of each application based on the extent to which the application meets the program-specific award and application requirements provided in 13 C.F.R. part 305 for Public Works investments and 13 C.F.R. part 307 for EAA investments

Date Range for Approval/Disapproval

From 90 to 120 days.

Renewals

Not Applicable. If an applicant is awarded funding, neither DOC nor EDA is under any obligation to provide any additional future funding in connection with that award or to make any future award(s). Amendment or renewal of an award to increase funding or to extend the period of performance is at the discretion of DOC and EDA.

Appeals

Not Applicable.

Compliance Requirements

Policy Requirements

The following 2CFR policy requirements apply to this assistance listing:

Subpart B, General provisions

Subpart C, Pre-Federal Award Requirements and Contents of Federal Awards

Subpart D, Post Federal; Award Requirements

Subpart E, Cost Principles

Subpart F, Audit Requirements

The following 2CFR policy requirements are excluded from coverage under this assistance listing:

Not Applicable

Additional Information:

Reports

Progress Reports: Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations.

Expenditure Reports: EDA requires the submission of the SF-425 Federal Financial Report.

Performance Reports: EDA reserves the right to conduct site visits on an as-needed basis..

Audits

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of $750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than $750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Single or program-specific audits shall be performed. The applicant is reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an audit of an award at any time.

Records

All financial and programmatic records, supporting documents, statistical reports and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement.

Regulations, Guidelines, and Literature

13 CFR parts 300-302, 307.

Formula and Matching Requirements

Statutory formula is not applicable to this assistance listing.

Matching Requirements: Percent: Other Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. In the case of EDA investment assistance to a(n) (i) Indian Tribe, (ii) State (or political subdivision of a State) that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity, or (iii) non-profit organization that the Assistant Secretary determines has exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost.

Matching requirements are voluntary.

MOE requirements are not applicable to this assistance listing.

Contact Information

Regional or Local Locations:

See Regional Assistance Locations. For questions concerning this PWEAA NOFO, or more information about EDA programs, you may contact the appropriate EDA representative listed below. An EDA contact for each State is provided for PW and non-ACC EAA projects. An EDA contact for each EDA Regional Office is provided for ACC projects and environmental inquiries. Updated contact information can be found on EDA's website at https://www.eda.gov/contact/

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History

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- **2021**Published

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  Economic Adjustment Assistance

- **2018**Published

  Economic Adjustment Assistance

- **1999**Title Changed

  Economic Adjustment Assistance

- **1997**Title Changed

  Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation and Long- Term Economic Deterioration

- **1997**Title Changed

  Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation (SSED) and Long-Term Economic Deterioration (LTED)

- **1986**Title Changed

  Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation and Long-Term Economic Deterioration

- **1984**Title Changed

Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation or Long-Term Economic Deterioration

- **1983**Title Changed

  Special Economic Development and Adjustment Assistance Program_Sudden and Severe or Long-Term Economic Deterioration

- **1979**Number Changed

  Split into 11.307, 11.311

- **1979**Title Changed

  Special Economic Development and Adjustment Assistance Program_Long-Term Economic Deterioration

- **1975**Published

  Economic Development_Special Economic Development and Adjustment Assistance Program